

jc921 U.S. PTO  
01/25/02

1-28-02 A

Please type a plus sign (+) inside this box → 

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                   |
|------------------------|-------------------|
| Attorney Docket No.    | 190-1480          |
| First Inventor         | Rowley            |
| Title                  | Data Lookup       |
| Express Mail Label No. | EL 874 025 443 US |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 9]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

5. Oath or Declaration [Total Pages 3]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: /

Prior application Information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

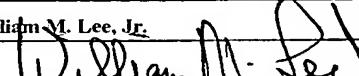
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied below Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

|         |   |           |              |          |              |
|---------|---|-----------|--------------|----------|--------------|
| Name    | William M. Lee, Jr.<br>LEE, MANN, SMITH, MCWILLIAMS, SWEENEY & OHLSON |           |              |          |              |
| Address | P. O. Box 2786  |           |              |          |              |
| City    | Chicago   | State     | IL           | Zip Code | 60690-2786   |
| Country | U.S.A.  | Telephone | 312-368-1300 | Fax      | 312-368-0034 |

|                   |   |                                   |        |                  |
|-------------------|---|-----------------------------------|--------|------------------|
| Name (Print/Type) | William M. Lee, Jr.   | Registration No. (Attorney/Agent) | 26,935 |                  |
| Signature         |  |                                   | Date   | January 25, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC997 U.S. PRO  
10/05/2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$780.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Rowley

Examiner Name

Group Art Unit

Attorney Docket No.

190-1480

## METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**

Deposit Account Name

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status. See 37 CFR § 1.27

Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid   |
|---------------|---------------|-----------------|--|
| 105           | 130           | 205             | 65 Surcharge - late filing fee or oath   |
| 127           | 50            | 227             | 25 Surcharge - late provisional filing fee or cover sheet                                  |
| 139           | 130           | 139             | 130 Non - English specification  |
| 147           | 2,520         | 147             | 2,520 For filing a request for ex parte reexamination                                      |
| 112           | 920*          | 112             | 920* Requesting publication of SIR prior to Examiner action                                |
| 113           | 1,840*        | 113             | 1,840* Requesting publication of SIR after Examiner action                                 |
| 115           | 110           | 215             | 55 Extension for reply within first month  |
| 116           | 400           | 216             | 200 Extension for reply within second month  |
| 117           | 920           | 217             | 460 Extension for reply within third month   |
| 118           | 1,440         | 218             | 720 Extension for reply within fourth month  |
| 128           | 1,960         | 228             | 980 Extension for reply within fifth month   |
| 119           | 320           | 219             | 160 Notice of Appeal   |
| 120           | 320           | 220             | 160 Filing a brief in support of an appeal   |
| 121           | 280           | 221             | 140 Request for oral hearing   |
| 138           | 1,510         | 138             | 1,510 Petition to institute a public use proceeding  |
| 140           | 110           | 240             | 55 Petition to revive - unavoidable  |
| 141           | 1,280         | 241             | 640 Petition to revive - unintentional   |
| 142           | 1,280         | 242             | 640 Utility issue fee (or reissue)   |
| 143           | 460           | 243             | 230 Design issue fee   |
| 144           | 620           | 244             | 310 Plant issue fee  |
| 122           | 130           | 122             | 130 Petitions to the Commissioner  |
| 123           | 50            | 123             | 50 Processing fee under 37 CFR § 1.17(q)   |
| 126           | 180           | 126             | 180 Submission of Information Disclosure Statement   |
| 581           | 40            | 581             | 40 Recording each patent assignment per property (times number of properties) <b>40.00</b> |
| 146           | 740           | 246             | 370 Filing a submission after final rejection (37 CFR § 1.129(a))                          |
| 149           | 740           | 249             | 370 For each additional invention to be examined (37 CFR § 1.129(b))                       |
| 179           | 740           | 279             | 370 Request for Continued Examination (RCE)  |
| 169           | 900           | 169             | 900 Request for expedited examination of a design application                              |

Other fee (specify) \_\_\_\_\_

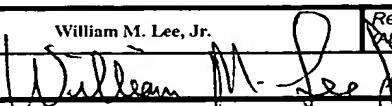
\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

## SUBMITTED BY

|                   |   |                                      |                  |           |              |
|-------------------|---|--------------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | William M. Lee, Jr.   | Registration No.<br>(Attorney/Agent) | 26,935           | Telephone | 312-368-1300 |
| Signature         |  | Date                                 | January 25, 2002 |           |              |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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acknowledge  
of the  
enclosed:

New US Patent Application for:

**Rowley**

**DATA LOOKUP**

Serial No. To Be Assigned

Filed: Herewith

1. Utility Patent Application Transmittal
2. Fee Transmittal for FY 2002 (in duplicate)
3. Assignment Cover Sheet w/Assignment
4. Declaration w/Specification (9 pages), including Abstract and Drawings (1 Sheet)
5. Claim for Priority w/attachment
6. Information Disclosure Statement
7. Information Disclosure Statement by Applicant w/attachments
8. Check No. 47799 in the amount of \$780.00
9. Express Mail Certificate
10. Return Post Card

DUE DATE (If Any): NEW APPLICATION

DATE SENT: January 25, 2002

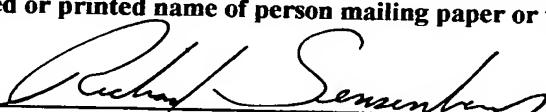
WMLJ:tjb

"Express Mail" mailing label number  
EL 874 025 443 US

Date of deposit: January 25, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

Richard Sensenbrenner  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)